

1/2-maximal consistent truncations using EFT and the M-theory / heterotic duality

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- Motivation
- Review of maximal truncations
- G_{half} -structures and 1/2-maximal SUSY backgrounds in EFT
- Rewriting EFT with G_{half} manifest
- Truncation Ansatz
- Consistency conditions and embedding tensor
- From EFT to Heterotic DFT

- Very successful application of EFT/DFT/EGG.
- Uplifting gauged SUGRAs as consistent truncation of 11-d and type II SUGRA (c.f. Samtleben's talk).
- Geometric understanding of embedding tensor in terms of exceptional generalised geometry.
- So far: max SUSY-preserving truncations, e.g.
 - ▶ lower-dimensional max gSUGRA from 11-d or $\mathcal{N} = 2$ 10-d.
 - ▶ lower-dimensional 1/2-max gSUGRA from $\mathcal{N} = 1$ 10-d.

- What about more general 1/2-max truncations?
 - ▶ e.g. 11-d on K3 \rightarrow D=7 1/2-max SUGRA with 19 vector multiplets
 - ▶ e.g. D=7 1/2-max gSUGRA with θ (de Sitter vacuum)
- How do we relate EFT and heterotic SUGRA? (e.g. M-theory / heterotic duality)
- Caveat: I will only address global questions within EGG.
- Note: Whenever referring to SUSY, I mean of the gSUGRA, not of a potential vacuum.
 \Rightarrow no *Killing* spinors required.

Review of maximal consistent truncations

- Consider a truncation $M_{11} = M_D \times M_{11-D}$ (twisted).
- Coordinates (x, y)
- In principle truncation breaks SUSY because of M_{11-D} .
- M_{11-D} admits N spinors $\Theta(y) \Rightarrow$ determines number of SUSY's of D-dimensional theory.
- Max SUSY \Leftrightarrow full set of globally-defined (generalised) spinors
 - \Leftrightarrow generalised tangent bundle is trivial
 - \Leftrightarrow background has generalised identity structure

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- Trivial generalised tangent bundle
 \Leftrightarrow Id-structure \Leftrightarrow globally well-defined $E^{\bar{M}}_M, \kappa$ (scalar density).
- $E^{\bar{M}}_M, \kappa$ can be expressed in terms of the spinors.
- Natural connection: Id-connection, i.e.

$$\nabla_M^{Id} E^{\bar{M}}_N = \partial_M E^{\bar{M}}_N - \Gamma_{MN}^{Id P} E^{\bar{M}}_P = 0 = \nabla_M^{Id} \kappa.$$

- Preserves each $E^{\bar{M}}_M$ **individually** (i.e. without spin-connection).
- Action can be rewritten using (intrinsic) torsion of Id-connection.

Maximal truncation Ansatz

- Truncation Ansatz: “generalised Scherk-Schwarz”.
- Factorise Id-structure in terms of **background** (Y) \times **fluctuations** (x):

$$E^{\bar{M}}{}_{M}(x, Y) = \mathcal{V}^{\bar{M}}{}_{\hat{M}}(x) U^{\hat{M}}{}_{M}(Y), \quad \kappa(x, Y) = |e|(x) \rho(Y).$$

- Twist $U^{\hat{M}}{}_{M}(Y)$ is background Id-structure (vielbein)
 $\Rightarrow U^{\hat{M}}{}_{M}(Y) \in E_{d(d)}$.
- $U^{\hat{M}}{}_{M}(Y)$ maps $E_{d(d)}$ structure group to $E_{d(d)}$ global symmetry (U-duality group) of maximal gauged SUGRA.
- Truncation Ansatz of other fields determined by their representation.

$$A_{\mu}{}^M(x, Y) = \mathcal{A}_{\mu}{}^{\hat{M}}(x) \rho(Y) U^M{}_{\hat{M}}(Y), \dots$$

Consistency of maximal truncation Ansatz

- Embedding tensor $X_{\hat{M}\hat{N}}^{\hat{P}}(U)$ is intrinsic torsion of background:

$$X_{\hat{M}\hat{N}}^{\hat{P}} = \mathcal{L}_{U_{\hat{M}}} U_{\hat{N}}^M U^{\hat{P}}_M.$$

- X satisfies linear constraint automatically, section condition \Rightarrow quadratic constraint.
- All dependence on Y in action appears through $X(U)$ only.
- X constant \Rightarrow consistent truncation Ansatz.

Backgrounds admitting 16 SUSY's in EFT

- Want 1/2-max gSUGRA \Rightarrow background admitting spinors corresponding to 16 supercharges.
- These backgrounds have generalised G_{half} structure (c.f. Strickland-Constable's talk).

D	$E_{d(d)}$	H_d	G_{half}	G_R
7	SL(5)	USp(4)	SU(2)	SU(2)
6a	Spin(5, 5)	USp(4) \times USp(4)	SU(2) \times SU(2)	SU(2) \times SU(2)
6b	Spin(5, 5)	USp(4) \times USp(4)	USp(4)	USp(4)
5	$E_{6(6)}$	USp(8)	USp(4)	USp(4)
4	$E_{7(7)}$	SU(8)	SU(4)	SU(4) \times U(1)

- $D = 4, 5, 6a, 7, G_{\text{half}} = \text{Spin}(d - 1)$.

Generalised G_{half} structure

- Define generalised G_{half} structure in terms of tensors.
- e.g. $SU(2)$ structure in 4d differential geometry

$$J_u \in \Gamma(\Lambda^2 T^*M), \quad J_u \wedge J_v = \delta_{uv} \text{vol}_4, \quad u, v = 1, \dots, 3.$$

Generalised G_{half} structure

- Define generalised G_{half} structure in terms of tensors.
- Generalisation of differential forms.
- Vector bundles $\mathcal{R}_1, \mathcal{R}_2, \mathcal{R}_3$ whose fibres are rep spaces R_1, R_2, R_3 .

D	R_1	R_2	R_3	R_c
7	10	$\overline{\mathbf{5}}$	5	\emptyset
6	16	10	$\overline{\mathbf{16}}$	1
5	27	$\overline{\mathbf{27}}$	78	27
4	56	133	912	1539

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- “Dilaton structure”: $\tilde{A} \in \Gamma(\mathcal{R}_2), A \in \Gamma(\mathcal{R}_2^*)$ with

$$A \otimes A|_{\mathcal{R}_c^*} = \tilde{A} \otimes \tilde{A}|_{\mathcal{R}_c} = 0$$
$$\tilde{A}(A) = 1.$$

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- NB: $G_{\text{half}} \subset SO(d-1, d-1) \subset E_{d(d)}$.
- “Dilaton structure”: $(E_{6(6)}) A^M, \tilde{A}_M$ with

$$A \otimes A|_{\mathcal{R}_c} = \tilde{A} \otimes \tilde{A}|_{\mathcal{R}_c^*} = 0, \quad d_{MNP} A^M A^N = 0 = d^{MNP} \tilde{A}_M \tilde{A}_N,$$
$$\tilde{A}(A) = 1, \quad \tilde{A}_M A^M = 1.$$

Generalised G_{half} structure

- $G_{\text{half}} \subset \text{SO}(d-1, d-1) \subset E_{d(d)}$.
- G_{half} structure: $B_u^M \in \Gamma(\mathcal{R}_1)$.
- $u = 1, \dots, d-1$ labels vector rep of $\text{SO}(d-1)_R$.
- Compatibility conditions:

$$B_u \otimes \tilde{A}|_{\mathcal{R}_3} = 0,$$

$$B_u \otimes B_v|_{\mathcal{R}_2} = \tilde{A} \delta_{uv}.$$

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$$\begin{aligned} B_u \otimes \tilde{A}|_{\mathcal{R}_3} &= 0, & (t_\alpha)_M{}^N B_u{}^M \tilde{A}_N &= 0, \\ B_u \otimes B_v|_{\mathcal{R}_2} &= \tilde{A} \delta_{uv}, & B_u{}^M B_v{}^N d_{MNP} &= \tilde{A}_P \delta_{uv}, \end{aligned}$$

$\alpha = 1, \dots, 78$ $E_{6(6)}$ adjoint index.

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- B_u , A and \tilde{A} can be expressed in terms of the well-defined spinors.
- B_u define positive $(d-1)$ -dimensional hyperplane in $2 \times (d-1)$ -dimensional space of split signature.
- $\Rightarrow B_u$, A and \tilde{A} together break $E_{d(d)} \longrightarrow G_{\text{half}}$
 \Rightarrow define G_{half} structure.

- $G_{\text{half}} \subset H_d \Rightarrow B_u, A$ and \tilde{A} *implicitly* define generalised metric.
- \Rightarrow Possible to rewrite EFT in terms of B_u, A and \tilde{A} .
- Need a G_{half} connection $\tilde{\nabla}$.
- $\tilde{\nabla}$ satisfies

$$\tilde{\nabla} B_u = \tilde{\nabla} A = \tilde{\nabla} \tilde{A} = 0.$$

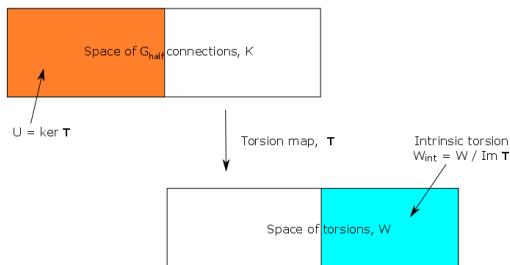
- Torsion is tensor part of the connection.

$$\mathcal{L}_{\Lambda}^{\tilde{\nabla}} V^M - \mathcal{L}_{\Lambda} V^M = \frac{1}{2} \tau_{NP}{}^M V^N \Lambda^P.$$

- $\tau_{MN}{}^P$ satisfies linear constraint of maximal gSUGRA.

Intrinsic G_{half} torsion

- Torsion depends on choice of connection.



- Independent of connection: Intrinsic torsion $\in W_{\text{int}} = W / \text{Im } T$.
- Intrinsic torsion is independent of choice of G_{half} connection.
- Can express it without referring to a connection.

- Intrinsic torsion has “universal part”:

$$\begin{aligned}S_{uv} &= \mathcal{L}_{B_u} B_v, \\ T_u &= \kappa^{-1} \mathcal{L}_{B_u} \kappa,\end{aligned}$$

where κ is determinant of external D -dimensional metric.

- Dimension-specific part, e.g. in $D = 5$ extra singlet

$$U = \tilde{A}(\mathcal{L}_A A).$$

- Intrinsic torsion because

$$\mathcal{L}_{B_u} B_v = \mathcal{L}_{B_u}^{\tilde{\nabla}} B_v - \tau(B_u, B_v) = -\tau(B_u, B_v).$$

Rewriting EFT: scalar potential

- The “scalar potential” of EFT can be written as

$$V_\epsilon \sim \nabla^2 \epsilon.$$

- Use $\mathcal{N} = 2$ spinors $\epsilon^i = \Theta^i_{\dot{\alpha}} \epsilon^{\dot{\alpha}}$ and integrate by parts.

$$V \sim \nabla \Theta \nabla \Theta.$$

- Use $A, \tilde{A}, B_u \sim \Theta^2$ to rewrite

$$V \sim S_{uv} S^{uv} + T_u T^u + \dots$$

Truncation Ansatz

- So far, B_u , A , \tilde{A} have arbitrary coordinate dependence.
- Truncation by expanding B_u , A , \tilde{A} in terms of finite number of sections defining G_{half} structure.
- $n(Y) \in \mathcal{R}_2^*$, $\tilde{n}(Y) \in \mathcal{R}_2$, $\omega_A(Y) \in \mathcal{R}_1$ satisfying

$$\begin{aligned}n \otimes n|_{\mathcal{R}_c^*} &= \tilde{n} \otimes \tilde{n}|_{\mathcal{R}_c} = 0, & \tilde{n}(n) &= 1, \\ \omega_A \otimes \tilde{n}|_{\mathcal{R}_3} &= 0, \\ \omega_A \otimes \omega_B|_{\mathcal{R}_2} &= \tilde{n} \eta_{AB}.\end{aligned}$$

- 3rd condition $\Rightarrow \omega_A \in V_{\text{SO}(d-1, d-1)}$.
- $\Rightarrow (V_{G_{\text{half}}}, \mathbf{1}) \oplus (\mathbf{1}, V_{G_R}) \in G_{\text{half}} \times G_R$.
- $A, B = 1, \dots, d + n - 1$; $n \rightarrow$ number of vector multiplets.
- η_{AB} is $\text{SO}(d - 1, n)$ metric.
- ω_A maps $E_{d(d)}$ to $\text{SO}(d - 1, n)$ global symmetry group of gSUGRA.

Scalar truncation Ansatz

- Want $\frac{1}{2}$ -max SUSY \Rightarrow no $S_{G_{\text{half}}}$ reps!
- Scalar truncation Ansatz:

$$A(x, Y) = e^{-2d(x)/3} n(Y),$$

$$\tilde{A}(x, Y) = e^{2d(x)/3} \tilde{n}(Y),$$

$$B_u(x, Y) = e^{d(x)/3} b_u^A(x) \omega_A(Y).$$

- Compatibility conditions $\Rightarrow b_u^A b_{v,A} = \delta_{uv}$.
- $d(x) \rightarrow$ dilaton of 1/2-max gSUGRA.
- Identify $b_u^A(x)$ related by R -symmetry.
- $P_-^{AB} = b_u^A b^{u,B} = \frac{1}{2} (\eta^{AB} - \mathcal{H}^{AB}) \rightarrow \text{SO}(d-1, n)$ generalised metric of 1/2-max gSUGRA.

- In all dimensions, obtain $d - 1 + n$ vector fields:

$$A_\mu{}^M(x, Y) = \mathcal{A}_\mu{}^A(x) \rho(Y) \omega_A{}^M(Y).$$

- Other gauge fields depend on number of dimensions.
- E.g. $D = 5$

$$A_\mu{}^{0M}(x, Y) = \mathcal{A}_\mu{}^0(x) \rho(Y) \tilde{n}^M(Y),$$
$$B_{\mu\nu, M}(x, Y) = \mathcal{B}_{\mu\nu}(x) \rho(Y)^2 n_M(Y),$$

Embedding tensor and consistency

- Embedding tensor is intrinsic torsion of background $X_{ABC}(\omega, n, \tilde{n})$.
- NB: 1/2-max \Leftrightarrow no $S_{G_{\text{half}}}$ reps.
- For consistency, all $S_{G_{\text{half}}}$ reps of intrinsic torsion must vanish, e.g.

$$\mathcal{L}_{\omega_A} \omega_B \otimes \tilde{n} |_{\mathcal{R}_3} = 0.$$

- Closure conditions, e.g.

$$\mathcal{L}_{\omega_A} \omega_B^M = \left(\mathcal{L}_{\omega_A} \omega_B^N \right) \tilde{\omega}^C{}_{N\omega_C}{}^M,$$

$$\mathcal{L}_{\omega_A} n = \tilde{n} (\mathcal{L}_{\omega_A} n) n.$$

- We defined the sections $\tilde{\omega}_{A,M} = (\omega_A \otimes n)_{\mathcal{R}_1^*}$.

Embedding tensor and consistency

- Remaining combinations give embedding tensor, *must be constant*.
- Details vary by dimensions, but universal contributions:

$$f_{ABC} = \mathcal{L}_{\omega_{[A} \tilde{\omega}_{B|M} \omega_{C]}}^M,$$

$$f_A = \tilde{n}(\mathcal{L}_{\omega_A} n),$$

$$\xi_A = \rho^{-1} \mathcal{L}_{\omega_A} \rho \quad (= 0 \text{ here}).$$

- X_{ABC} satisfies linear constraint of 1/2-max gSUGRA, e.g.

$$\mathcal{L}_{\omega_{(A} \tilde{\omega}_{B)M} \omega_C}^M \sim \eta_{AB} f_C + f_{(A} \eta_{B)C}.$$

$D = 5$ example

- Example: $D = 5$ embedding tensor. Have n^M , \tilde{n}_M , ω_A^M and define $\tilde{\omega}_{A,M} = d_{MNP} n^N \omega_A^P$.

- Write

$$\omega_A^M = \left(n^M, \omega_A^M \right), \quad \tilde{\omega}_{A,M} = \left(\tilde{n}_M, \tilde{\omega}_{A,M} \right), \quad \mathcal{A} = 0, \dots, 5 + n.$$

- Define

$$X_{ABC} = \mathcal{L}_{\omega_A} \omega_B^M \tilde{\omega}_{C,M}.$$

- Only non-zero elements are

$$X_{A00} = f_A, \quad X_{0AB} = -f_{AB}, \quad X_{ABC} = -f_{ABC} - \frac{1}{2} \eta_{AB} f_C + \eta_{C(A} f_{B)},$$

with $f_{AB} = f_{[AB]}$ and $f_{ABC} = f_{[ABC]}$.

- This is exactly the 5-D $\text{SO}(5, n)$ embedding tensor.

Consistent truncation

- Applying truncation to EFT action we obtain 1/2-max gauged SUGRA action.
- E.g. EFT scalar potential $V \sim S_{uv}S^{uv} + T_u T^u + \dots$

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- E.g. EFT scalar potential $V \sim S_{uv}S^{uv} + T_u T^u + \dots$
- E.g. D=5 scalar potential

$$\begin{aligned} |e|^{-1}V = e^{-2d} f_{ABC} f_{DEF} & \left(-\frac{1}{12} \mathcal{H}^{AD} \mathcal{H}^{BE} \mathcal{H}^{CF} + \frac{1}{4} \eta^{AD} \eta^{BE} \mathcal{H}^{CF} \right. \\ & \left. - \frac{1}{6} \eta^{AD} \eta^{BE} \eta^{CF} \right) - \frac{1}{8} e^{-2d} \mathcal{H}^{AB} f_A f_B \\ & + \frac{1}{4} e^{4d} f_{AB} f_{CD} \left(\eta^{AC} \eta^{BD} - \mathcal{H}^{AC} \mathcal{H}^{BD} \right) \\ & - \frac{\sqrt{2}}{3} e^d f_{ABC} f_{DE} \mathcal{H}^{ABCDE}, \end{aligned}$$

where $\mathcal{H}^{ABCDE} = \epsilon^{uvwxy} b_u^A b_v^B b_w^C b_x^D b_y^E$.

Consistent truncation – summary

- Truncation is consistent given closure condition, spinor condition and constant embedding tensor.
- Embedding tensor automatically satisfies linear constraint of 1/2-max gSUGRA.
- Section condition \Rightarrow quadratic constraint.
- With truncation Ansatz, action reduces to that of 1/2-maximal gSUGRA.
- Examples:
 - ▶ ungauged 7-d $SO(3, 19)$ SUGRA from M-theory on $K3$
 - ▶ ungauged non-chiral 6-d $SO(4, 20)$ SUGRA from M-theory on $K3 \times S^1$.
 - ▶ chiral 6-d SUGRA from IIB on $K3$.

From truncation to heterotic DFT

- Consider using truncation Ansatz but still keeping dependence on Y .
- c.f. massive IIA from EFT.

$$A(x, Y) = e^{-2d(x, Y)/3} n(Y),$$

$$\tilde{A}(x, Y) = e^{2d(x, Y)/3} \tilde{n}(Y),$$

$$B_u(x, Y) = e^{d(x, Y)/3} b_u^A(x, Y) \omega_A(Y).$$

- But restrict ∂_M derivatives by

$$(n \otimes \partial) |_{\mathcal{R}_3^*} d = (n \otimes \partial) |_{\mathcal{R}_3^*} b_u^A = 0.$$

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$$\partial_M d = \tilde{\omega}^A{}_{M\omega_A}{}^N \partial_N d, \quad \partial_M b_u^A = \tilde{\omega}^B{}_{M\omega_B}{}^N \partial_N b_u^A.$$

- Theory has only 16 supercharges.

Heterotic “doubled space”

- Define $d - 1 + n$ twisted derivatives

$$D_A = \omega_A^M \partial_M, \quad [D_A, D_B] = f_{AB}^C D_C + \text{section condition} .$$

- Impose $f_{AB}^C D_C = 0$ and section condition.
- Can write $D_A \rightarrow \partial_A$ ($d+n-1$ derivatives of heterotic DFT).
- $f_{AB}^C \partial_C = 0$ is required in Heterotic DFT.

Heterotic generalised Lie derivative

- Consider $V^M(x, Y) = V^A(x, Y)\omega_A^M(Y)$,
 $\Lambda^M(x, Y) = \Lambda^A(x, Y)\omega_A^M(Y)$.
- Generalised Lie derivative becomes

$$\mathcal{L}_\Lambda V^M = \omega_A^M \left(\Lambda^B \partial_B V^A - V^B \partial_B \Lambda^A + \eta^{AB} \eta_{CD} V^C \partial_B \Lambda^D + f^A_{BC} V^B \Lambda^C \right)$$

- Heterotic generalised Lie derivative & f_{ABC} encodes gauge group!
- Section condition becomes $\eta^{AB} \partial_A \otimes \partial_B = 0$.
- Restricts dependence to $d - 1$ coordinates.
- Action becomes Heterotic DFT action.
- $b_u^A \longrightarrow$ “frame-like formulation of DFT”.

- Consider $SL(5)$ EFT on $K3 \times T^6$.
- $K3 \Rightarrow 22 \omega_A^M$ with $f_{ABC} = f_A = 0$.
- $T^6 \Rightarrow b_u^A(x, Y)$ and $d(x, Y)$ are independent of Y .
- Two ways to approach this.
- 1: Remove T^6 by section condition $\Rightarrow SL(5)$ EGG $\Rightarrow K3$ truncation of 11-d SUGRA.
- 2: “Remove $K3$ ” by section condition $\Rightarrow SO(3, 19)$ Heterotic DFT with $U(1)^{16}$ gauge group $\Rightarrow T^3$ truncation of Heterotic SUGRA.

- Half-maximal gSUGRAs can be obtained by consistent truncation on G_{half} -structure manifolds.
- Can couple to n vector multiplets \Rightarrow $SO(d - 1, n)$ global symmetry.
- Intrinsic torsion of background \rightarrow embedding tensor.
- Automatically satisfies linear constraint.
- Section condition \Rightarrow quadratic constraint.
- EFT can be reduced to heterotic DFT using G_{half} -structure manifolds.
- Matches expectations from M-theory / heterotic duality.

- Examples!
- Uplift 7-D de Sitter vacuum.
- Less SUSY.
- Solutions in M-theory \leftrightarrow heterotic, e.g. M5 on $K3 \leftrightarrow$ heterotic string.
- Better understanding of doubled structure \leftrightarrow gauge enhancement?